

**NOTICE TO EMPLOYEES ELIGIBLE TO PARTICIPATE IN THE
AMALGAMATED TRANSIT UNION LOCAL UNION OFFICERS AND EMPLOYEES
401(k) RETIREMENT PLAN**

An application is to be made to the Internal Revenue Service for an advance determination on the qualification of the following employee pension benefit plan:

1. Name of Plan: Amalgamated Transit Union Local Union
Officers and Employees 401(k) Retirement Plan
2. Plan Number: 333
3. Name and Address of Applicant:

Amalgamated Transit Union, AFL-CIO
5025 Wisconsin Avenue, N.W.
Washington, D.C. 20016-4139
4. Applicant I.D. Number: 53-6014540
5. Name and Address of Plan Administrator:

Board of Trustees of the Amalgamated Transit Union
Local Union Officers and Employees 401(k) Retirement Plan
5025 Wisconsin Avenue, N.W.
Washington, D.C. 20016-4139
6. The application will be filed on January 25, 2013 with the Employee Plans Determinations Manager, Internal Revenue Service in Covington, Kentucky for an advance determination as to whether the Plan meets the qualification requirements of Section 401(a) of the Internal Revenue Code of 1986. The address is:

Employee Plans Determinations
Internal Revenue Service
Post Office Box 12192
Covington, Kentucky 41012-0192
7. All officers and employees on the payroll of the International or a Local Union and who are citizens or permanent residents of the United States are eligible to participate in the Plan.
8. The Internal Revenue Service has previously issued a determination letter with respect to the qualification of this Plan.

RIGHTS OF INTERESTED PARTIES

9. You have the right to submit to the Employee Plans Determination Manager at the above address, either individually or jointly with other interested parties, your comments as to whether the Plan meets the qualification requirements of the Internal Revenue Code.

You may instead, individually or jointly with other interested parties, request the Department of Labor to submit, on your behalf, comments to the Employee Plans Determinations Manager regarding qualification of the Plans. If the Department declines to comment on all or some of the matters you raise, you may, individually, or jointly if your request was made to the Department jointly, submit your comments on these matters directly to the Employee Plans Determinations Manager.

REQUESTS FOR COMMENTS BY THE DEPARTMENT OF LABOR

10. The Department of Labor may not comment on behalf of interested parties unless requested to do so by the lesser of 10 employees or 10 percent of the employees who qualify as interested parties. The number of persons needed for the Department to comment with respect to the Plan is 10. If you request the Department to comment, your request must be in writing and must specify the matters upon which comments are requested, and must also include:
- (a) The information contained in items 1 through 4 of this Notice; and
 - (b) The number of persons needed for the Department to comment.

A request to the Department to comment should be addressed as follows:

Deputy Assistant Secretary
Employee Benefits Security Administration
ATTN: 3001 Comment Request
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210.

COMMENTS TO THE INTERNAL REVENUE SERVICE

11. Comments submitted by you to the Employee Plans Determinations Manager must be in writing and received by him by March 11, 2013. However, if there are matters that you request the Department of Labor to comment upon on your behalf, and the Department declines, you may submit comments on these matters to the Employee Plans Determinations Manager to be received by him within 15 days from the time the Department notifies you that

it will not comment on a particular matter, or by March 11, 2013 whichever is later, but not later than March 26, 2013. A request to the Department to comment on your behalf must be received by it by February 9, 2013 if you wish to preserve your right to comment on a matter upon which the Department declines to comment, or by February 19, 2013 if you wish to waive that right.

ADDITIONAL INFORMATION

12. Detailed instructions regarding the requirements for notification of interested parties may be found in Sections 17 and 18 of Revenue Procedure 2012-6. Additional information concerning the application (including, where applicable, an updated copy of the Plan and related trust; the application for determination; any additional documents dealing with the application that have been submitted to the IRS; and copies of Section 17 of Revenue Procedure 2012-6) are available from the Board of Trustees.

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